

SUBSCRIPTION SERVICES

Instructions:

1. Please complete and email this form to E-Business Department at ebiz@uobkayhian.com.
Kindly inform your Trading Representative or E-Business Department via email after payment is made.
2. Please ensure that your signature is consistent throughout your application forms.

PART 1: CLIENT PARTICULARS

| | | | |
|----------|--|----------------------|--|
| Name: | | Trading Account No.: | |
| TR Name: | | TR Code: | |

PART 2: SUBSCRIPTION TYPE

Please tick required access

- (1) SGX Market Depth _____
- (2) HK Live Prices _____
- (3) US Live Prices * _____
(UTRADE does not offer live price for AMEX)

***NOTE: US Live Prices subscription:**

Client is required to also fill up one of the following forms:

- 1) **Appendix 1:** Non-Professional Subscriber Agreement (NYSE, NASDAQ)
or
- 2) **Appendix 2:** Professional Subscriber Agreement (NYSE, NASDAQ)

Please refer to:

- A) Page 2 clause 13 of "Terms and Conditions" for classification of Non-Professional or Professional user
- B) Page 3 for the differentiated subscription fees.

To Commence On:

D D / M M / Y Y Y Y

Please tick required period

- Subscription Period:** 3 months **Renew:**
- 6 months Yes No
- 12 months

- Payment Mode:** EPS
- GIRO
- Transfer fund from Trust Account
- Cheque (Bank & Cheque Number: _____)
- Others (Please specify: _____)

SUBSCRIPTION SERVICES

PART 3: TERMS AND CONDITIONS

1. This service is provided by UOB Kay Hian Pte Ltd.
2. Client and/or his Trading Representative must inform us once payment is effected for the initial subscription.
3. Subscription will be on pre-paid basis. Initial subscription service will only be activated upon receipt of full payment and subject to approval.
4. Please allow 3 to 5 working days for the implementation of the applicable services on your account.
Charges for applicable services will be 3, 6 or 12 months basis as indicated on Page 1 of the Subscription Services form.
NOTE: If the subscription is activated in the middle of the month, client will be billed for the entire month.
Example: Subscription starts on 22 April, client will be billed for the entire month of April.
5. There will be no refund if client wish to discontinue the applicable services before the end of the subscription period.
Example: Subscription period is from 1 April to 30 June and client request to terminate on 10 May. There will be no refund for June's subscription.
6. To discontinue your subscription, please email us at cs@uobkayhian.com at least 14 days before the expiry date of the current subscription period.
7. Renewal Notice will be emailed to client at least 2 weeks before expiry of the current subscription period. If client wish to continue with his subscription for the next quarter, payment will have to be received by the stipulated due date.
9. Client's access to the applicable services will cease at the end of the subscription period if no payment is received by the due date stipulated in the Renewal Notice. The subscription will be terminated on the last working day of the month anytime after market trading hours.
10. UOBKH reserves the right to suspend, modify or terminate the Client's access and use of UOBKH Online Trading and/or impose such conditions or directions in relation thereto from time to time as it deems fit without giving any reasons or prior notice to the Client and without being responsible in any way for any loss or damage whatsoever resulting therefrom.
11. The Client accepts that access and use of UOBKH Online Trading will not necessarily be free from faults, errors, delays or defects in its design and engineering. The Client agree not to hold UOBKH responsible for any loss or damages incurred by you arising out of or in connection with the use of or reliance on the applicable services.
12. The Client recognizes that the use of UOBKH Online Trading may involve the electronic transmission of information that may be considered personal financial information, including but not limited to the identity and number of shares traded and the net price for the shares. The Client consents to the transmission by electronic means of such information through UOBKH Online Trading; such consent shall be effective at all times that the Client uses UOBKH Online Trading.

13. For client subscribing to US Live Prices:

13.1 In summary, according to NYSE & NASDAQ subscriber policy *:

Client is a Non-Professional user if he/she does not meet any of the criteria outlined below.

Client is a Professional user if he/she meets any one of the following criteria.

Criteria:

- a. The account is not a natural person ie. company/corporate account, regardless of industry.
- b. Client subscribing or acting on behalf of a firm, corporation, partnership, trust, or association.
- c. Client use the information in connection with any trade or business activities and not for personal investment.
- d. Client plan to furnish the information to any other person(s).
- e. Client is a securities broker-dealer, registered representative, investment advisor, investment banker, futures commission merchant, commodities introducing broker or commodity trading advisor, money manager, member of the Securities Exchange or Association or Futures Contract market, or any owner, partner, or associated person of the foregoing.
- f. Client is employed by a bank or an insurance company or an affiliate of either to perform functions related to securities or commodity futures investment or trading activity.

* please refer to Appendix 1, 2 for full description.

13.2 You are required to complete one of the following Agreements:

Non-Professional User: Please fill up **Appendix 1**

Professional User: Please fill up **Appendix 2**

NOTE: Should client's status change from a Non-Professional user to a Professional user, he/she must inform us in writing to ebiz@uobkayhian.com.

I have read and understood the terms and conditions and agree to abide by them.

Signature of Client / TR: _____

Date: _____

PART 4 : FOR OFFICIAL USE

Enrolled By / Date & Time :

(staff's signature)

For US live feed : Pro / Non Pro
CA to update SUBS8 occupation : Yes / No

SUBSCRIPTION SERVICES

Please retain this page for your reference.

Subscription Services Available

| Subscription Services | Subscription Period | Subscription Fee (before 8% GST) | Subscription Fee (after 8% GST) |
|---|----------------------------------|----------------------------------|---------------------------------|
| SGX Market Depth | 3-month | S\$75.00 | S\$81.00 |
| HK Live Prices | 3-month | S\$150.00 | S\$162.00 |
| US Live Prices (UTRADE does not offer live price for AMEX) | 3-month Non-Professional User | S\$25.00 | S\$27.00 |
| | 3-month Professional User | S\$355.14 | S\$383.55 |

*All subscription fees are subjected to 8% GST with effect from 1 January 2023.

Please do not enclose cash with your subscription form.

Payment Mode

1. EPS via ATM or Internet Banking
2. GIRO – Auto deduction from the designated bank account number as per our records.
3. Bill Payment via Internet Banking
4. PayNow
 - Enter UEN Number: 197000447WXXX
 - Your trading account number under the Reference No. /Remarks/Description/Comments field
 - Payment Amount S\$XXXX
5. Trust Account
Please instruct your Trading Representative to facilitate the transfer of funds from your Trust Account to make payment for your subscription.
6. Cheque (least preferred mode)
Cheque to be made payable to UOB Kay Hian Pte Ltd, indicating your trading account number and “Premium Service Subscription” at the back of the cheque, and mail it to us at the following address:

UOB Kay Hian Pte Ltd
8 Anthony Road
#01-01
Singapore 229957
Attn: Finance Department

Termination of Subscription

To discontinue your subscription, please email us at cs@uobkayhian.com at least 14 days before the expiry date of the current subscription period.